

Note: As stated in s. NR 663.10, the transportation requirements do not apply to: transportation that does not require a manifest under ch. NR 662; on-site transportation by a generator or TSDF; and, transportation that occurs during an explosives or munitions emergency response.

Section A: Inspection Information

Inspection Date(s)	DNR Region	DNR Inspector(s)	Inspection was
			<input type="checkbox"/> Unannounced <input type="checkbox"/> Announced

Section B: Generator Information

Generator Name	Facility ID (FID) Number	EPA ID Number	
Street Address	City	ZIP Code	County
Contact Name	Title		
E-Mail Address	Telephone Number (include area code)		
Legal Owner Name	Telephone Number (include area code)		
Street Address	City	State	ZIP Code
Personnel Present	Title		
Personnel Present	Title		

Section C: Waste Information

Types of Wastes Handled by Transporter:	<input type="checkbox"/> Ignitable <input type="checkbox"/> Corrosive <input type="checkbox"/> Reactive <input type="checkbox"/> TCLP wastes <input type="checkbox"/> Listed Wastes <input type="checkbox"/> Universal Wastes <input type="checkbox"/> Used Oil <input type="checkbox"/> Electronic Waste
Size of Containers Handled by Transporter:	<input type="checkbox"/> < 55 gallon (5, 10, 16, 30 gal) <input type="checkbox"/> > 55 gallon (Carboys, totes, cubic yard boxes) <input type="checkbox"/> 55 gallon <input type="checkbox"/> Tanker trucks <input type="checkbox"/> Rail cars <input type="checkbox"/> Water vessel
Transporter Ships to the Following Facilities:	<input type="checkbox"/> TSD Facilities <input type="checkbox"/> Recycling Facilities <input type="checkbox"/> Kilns <input type="checkbox"/> Another transporter or transfer facility

Section D: License Requirements

NR 663.11(1)	1. Has the transporter submitted a notification form and obtained an EPA ID#? Note: A subsequent notification should be submitted when there is an ownership or name change.	<input type="checkbox"/> Yes <input type="checkbox"/> No
NR 663.13(1)(b)	2. Has each location where transport vehicles are based been issued a transportation license?	<input type="checkbox"/> Yes <input type="checkbox"/> No
NR 663.13(1)(h)	3. If there has been a change in ownership, has the new owner re-applied for a transportation license by submitting a license application form?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A

Section E: Manifest System

NR 663.20(1)(a)	1. Does the transporter only accept hazardous waste if the manifest is signed and dated by the generator, except for the following? <input type="checkbox"/> The transporter accepts non-manifested shipments from VSQGs. <input type="checkbox"/> The transporter accepts SQG waste pursuant to a reclamation agreement.	<input type="checkbox"/> Yes <input type="checkbox"/> No
NR 663.20(2)	2. Does the transporter leave a signed and dated copy of the manifest with the generator?	<input type="checkbox"/> Yes <input type="checkbox"/> No
NR 663.20(3)	3. Does the transporter ensure that the manifest accompanies the hazardous waste?	<input type="checkbox"/> Yes <input type="checkbox"/> No
NR 663.20(4)	4. Does the transporter keep one copy of the manifest signed and dated by the TSDF or next transporter for a period of 3 years from the date the hazardous waste was accepted by the initial transporter?	<input type="checkbox"/> Yes <input type="checkbox"/> No

5. Does the transporter deliver the entire quantity of hazardous waste from a generator or transporter to the following?
 NR 663.21(1)(a) The designated facility listed on the manifest. Yes No
 NR 663.21(1)(b) An alternate facility if an emergency prevents delivery to the designated facility.
 NR 663.21(1)(c) The next designated transporter.
 NR 663.21(1)(d) The facility outside of the U.S. as designated by the generator.

6. If the waste cannot be delivered to the designated or alternate facility because of an emergency situation, does the transporter contact the generator for further directions and revise the manifest according to the generator's instructions?
 NR 663.21(2)(a) Yes No N/A

7. If the transporter is transporting waste from a SQG pursuant to a reclamation agreement, are ALL of the following requirements met?
 The following information is recorded on a log or shipping paper for each shipment.
 NR 663.20(8)(b)1. ___ The name, address and EPA ID# of the generator.
 NR 663.20(8)(b)2. ___ The quantity of waste accepted. Yes No N/A
 NR 663.20(8)(b)3. ___ Shipping information, as required by DOT.
 NR 663.20(8)(b)4. ___ The date the waste is accepted.
 The transporter carries the log or shipping paper during transport of the waste to the reclamation facility.
 NR 663.20(8)(c) The transporter retains the shipping records for a period of at least 3 years after termination or expiration of the agreement.
 NR 663.20(8)(d)

8. If the transporter transports waste out of the U.S. (exports), does the transporter comply with ALL of the following requirements?
 NR 663.20(7)(a) Sign and date the manifest in the international shipments block of the manifest to indicate the date the shipment left the U.S.
 NR 663.20(7)(b) Retain a manifest copy for 3 years from the date the hazardous waste was accepted by the initial transporter.
 NR 663.20(7)(c) Return a signed manifest copy to the generator. Yes No N/A
 NR 663.20(7)(d) Give one manifest copy to the U.S. customs official at the point of departure from the U.S.
 NR 663.20(3) Ensure a copy of the EPA acknowledgement of consent accompanies the exported shipment.

9. If the transporter transports waste into Wisconsin from another country, does the transporter comply with the ch. NR 662 generator standards?
 NR 663.10(3)(a) Yes No N/A
 Note: Complete the generator inspection form.

Section F: Rejected Loads

1. For a partial load rejection or regulated container residue that occurs while the transporter is at the designated facility, does the transporter obtain ALL of the following?
 NR 663.21(2)(b)1. A copy of the original manifest that includes:
 ___ The date and signature from the designated facility. Yes No N/A
 ___ The manifest tracking number of the new manifest accompanying the shipment.
 ___ A description of the partial rejection or container residue in the discrepancy block.
 A new manifest to accompany the shipment if transporting the waste to an alternate facility or returning it to the generator.

NR 663.21(2)(b)2. 2. For a full load rejection that is taken back by the transporter, does the transporter obtain ALL of the following?
 A copy of the original manifest that includes:
 ___ The rejecting facility's signature and date of rejection. Yes No N/A
 ___ A description of the rejection in the discrepancy block of the manifest.
 ___ The name, address, phone number, and ID# for the alternate facility or generator to whom the shipment will be delivered.
 If the original manifest is not used, the transporter obtains a new manifest for the shipment.

NR 663.22(1) 3. Is a copy of the manifest documenting the rejected shipment retained for 3 years from the date the hazardous waste was accepted by the initial transporter? Yes No N/A

Section G: Transfer Facility Requirements

1. Does the transporter operate a transfer facility? If NO, go to Section H. Yes No

NR 663.12 2. Are all containers stored for 10 days or less? Yes No

NR 663.12 3. Do the hazardous waste containers comply with the DOT packaging requirements stated in 49 CFR? Yes No

Section H: Bulking Wastes

NR 663.10(b) 1. If hazardous waste with different DOT shipping descriptions are mixed and placed into a single container, does the transporter comply with the generator requirements stated in ch. NR 662? Yes No N/A
 Note: Complete the generator inspection form.

Section I: Hazardous Waste Discharge

1. Has a hazardous waste discharge occurred during transportation? If NO, go to Section J. Yes No

NR 663.30(1) 2. Has the transporter taken appropriate immediate action as required by ch. NR 708 (notify local authorities, dike the discharge area, etc.)? Yes No

NR 663.30(3)(a)
 NR 663.30(3)(b) 3. Has the transporter complied with ALL of the following?
 Notify the national response center at 800-424-8802.
 Submit a written report to the DOT Office of Hazardous Materials Regulations in Washington, D.C. Yes No
 Notify the Wisconsin Division of Emergency Management at 800-943-0003.
 NR 663.30(3)(c)
 NR 663.30(3)(c) Comply with the spills notification and response requirements of s. 292.11, Stats, and ch. NR 706.

NR 663.30(4) 4. If a discharge has occurred during water transport, has the transporter given notice of discharge of oil or a hazardous substance (33 CFR 153.203) to the National Response Center? Yes No N/A

NR 663.31 5. Has the transporter cleaned up the hazardous waste discharge or taken other required actions so that the discharge no longer presents a hazard to human health or the environment? Yes No

Section J: Bulk Transport by Water or Rail

1. Does the transporter conduct bulk transport by water or rail? If NO, go to section K. Yes No

NR 663.20(5)(b) 2. If bulk shipments are transported by water to the designated facility, are ALL of the following met?
 A shipping paper containing all of the manifest information, excluding the EPA ID #'s, generator certification and signatures, accompanies the hazardous waste.

NR 663.20(5)(b) If the waste is exported, an EPA acknowledgement of consent accompanies the hazardous waste. Yes No N/A

NR 663.20(5)(c) The delivering transporter obtains the date of delivery and signature of the designated facility on the manifest or shipping paper.

NR 663.20(5)(d) The person delivering the hazardous waste to the initial water transporter obtains the date of delivery and signature of the water transporter on the manifest and forwards it to the destination facility.

NR 663.20(5)(e) The water transporter retains a copy of the shipping paper or manifest for 3 years from the date the waste was received by the initial transporter.

NR 663.20(6)(a)1.
 NR 663.20(6)(a)2.
 NR 663.20(6)(a)3a.
 NR 663.20(6)(a)3.b
 NR 663.20(6)(a)3.c
 NR 663.20(6)(a)4.

3. If shipments are transported by rail, does the initial rail transporter do ALL of the following when accepting hazardous waste from a non-rail transporter?
 Sign and date the manifest.
 Return a signed copy of the manifest to the non-rail transporter.
 Forward at least 3 copies of the manifest to one of the following: Yes No N/A
 ___ The next non-rail transporter.
 ___ The designated facility if the shipment is delivered by rail.
 ___ The last rail transporter handling the waste in the U.S.
 A copy of the manifest and rail shipping paper is retained for 3 years from the date the waste is accepted by the initial transporter.

NR 663.20(6)(b)

4. Does the rail transporter ensure that a shipping paper containing all of the manifest information, excluding the EPA ID #'s, generator certification and signatures, accompanies the hazardous waste? Yes No N/A

NR 663.20(6)(b)

5. If the waste is exported by rail, does an EPA acknowledgement of consent accompany the hazardous waste? Yes No N/A

NR 663.20(6)(c)1.
 NR 663.20(6)(c)2.

6. Does the final rail transporter delivering hazardous waste to the designated facility comply with BOTH of the following?
 The date of delivery and signature of the designated facility are obtained on the manifest or the shipping paper, if the manifest has not yet been received by the facility. Yes No N/A
 A copy of the signed manifest or signed shipping paper is retained for 3 years from the date the waste was accepted by the initial transporter.

NR 663.20(6)(d)1.
 NR 663.20(6)(d)2.

7. Does a rail transporter comply with BOTH of the following when delivering hazardous waste to a non-rail transporter?
 Obtain the date of delivery and signature of the non-rail transporter on the manifest. Yes No N/A
 A copy of the signed manifest or signed shipping paper is retained for 3 years from the date the waste was accepted by the initial transporter.

NR 663.20(6)(e)

8. Does the non-rail transporter do BOTH of the following when accepting hazardous waste from a rail transporter? Yes No N/A
 Sign and date the manifest.
 Provide a copy of the manifest to the rail transporter.

Section K: Status Evaluation

1. Does the transporter conduct other hazardous waste activities that subject the transporter to additional requirements? Yes No

2. If YES, check all that apply and, if appropriate, complete additional inspection forms.
 Transporting Used Oil Transporting Universal Waste Generation Treatment Storage Disposal

DNR Inspector Signature:	Date:
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